DTITRESATETIFTO 30 JANGA

Approve Conf. is enhanced in 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

01/24/2003

# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

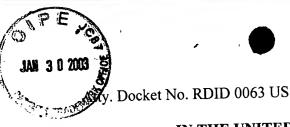
Total Number of Pages in This Submission 8

Application Number	09/647,586	
Filing Date	12/22/2000	
First Named Inventor	KITAS	
Group Art Unit	1646	
Examiner Name	UNKNOWN	
Attorney Docket Number	RDID 0063 US	

		ENCLOSURES (check all that apply)			
	claration(s) Request ent Request ure Statement riority	Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  After Allowance Communication to Group  Appeal Communication to (Appeal Notice, Brief, Repty Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (pleasidentify below):  Sequence Listing (2p)  Statement in Support of File Notice of Defective Response.	Board ces Group se p)/CRF ling (1pp)		
	SIGNATI	URE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name Signature	Jill L. Woodbu The Law Office				
CERTIFICATE OF MAILING					

CERTIFICATE OF MAILING					
I hereby certify that this corresp mail in an envelope addressed	oufficient postage as first c	ass			
Typed or printed name	Jill L. Woodburn		_ <b>k</b>		

Signature Date Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: KITAS et al.

Application No.: 09/647,586

Group No.: 1646

Filed: 12/22/2000

Examiner: Unknown

For: COMPOUNDS FOR DNA-TRANSFECTION

Box SN Assistant Commissioner for Patents Washington, D.C. 20231

#### **AMENDMENT**

Dear Sir:

In response to the Notification of Defective Response mailed January 3, 2003, entry of the following amendment and consideration of the accompanying remarks is respectfully requested.

Please amend the specification to include the attached Sequence Listing.

The Notification of Defective Response requested that a Sequence Listing be submitted as well as an amendment directing its entry into the specification. This paper should be considered a request to enter the attached Sequence Listing into the specification.

The application is deemed to be in condition for allowance, and allowance of the application is respectfully requested. In addition, it is requested that any fees due be charged to Deposit Account Number 50-0877 with reference to (RDID 0063 US).

Respectfully submitted,

Date: <u>January</u> 24, 2003

Jill L. Woodburn, Reg. 39,874

The Law Office of Jill L. Woodburn, L.L.C.

128 Shore Dr.

Ogden Dunes, IN 46368-1015 Telephone: (219) 764-4005

Fax: (219) 764-4070



32842

### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents. Hox PCT United States Patent and Trademark Office Washington, D.C. 2021

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

09/647,586

Eric Argirios Kitas

RDID0063US

INTERNATIONAL APPLICATION NO.

PCT/EP99/02361

I.A. FILING DATE

PRIORITY DATE

04/07/1999

04/07/1998

THE LAW OFFICE OF JILL L. WOODBURN, L.L.C.
JILL L. WOODBURN
128 SHORE DR.
OGDEN DUNES, IN 46368

JAN 3 0 2003 5

CONFIRMATION NO. 8561

371 FORMALITIES LETTER
\*OC000000009325730\*

Date Mailed: 01/03/2003

# NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Claims
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Initial Application Filing Fees
- Oath or Declaration
- Original Specification
- Preliminary Amendments
- Request for Immediate Examination

Applicant's response filed 12/22/2000 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 10/16/2000 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

 The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by ■ APPLICANT MUST PROVIDE:
- - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### WINSTON M ALVARADO

Telephone: (703) 305-6421

## PART 1 - ATTORNEY/APPLICANT COPY

, t	J.S. APPLICATION NUMBER NO. 09/647,586	INTERNATIONAL APPLICATION NO. PCT/EP99/02361	ATTY DOCKET NO. RDID0063US
FO011			

FORM PCT/DO/EO/916 (371 Formalities Notice)

)